

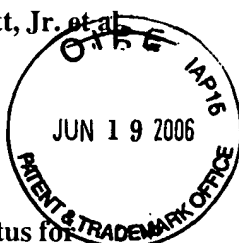
JFW

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: DeWitt, Jr. et al

Serial No.: 10/757,197

Filed: January 14, 2004



For: Method and Apparatus for  
Optimizing Code Execution Using  
Annotated Trace Information Having  
Performance Indicator and Counter  
Information

35525

PATENT TRADEMARK OFFICE  
CUSTOMER NUMBER

Group Art Unit: 2192

Examiner: Dam, Tuan Quang

Attorney Docket No.: AUS920030556US1

Certificate of Mailing Under 37 C.F.R. § 1.8(a)

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June 16th

By:

Louise Fay

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Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

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ENCLOSED HEREWITH:

- Supplemental Information Disclosure Statement;
- Form PTO-1449;
- Reference AP; and
- Our return postcard.

No fees are believed to be necessary. If, however, any fees are required, I authorize the Commissioner to charge these fees which may be required to Deposit Account No. 09-0447. No extension of time is believed to be necessary. If, however, an extension of time is required, the extension is requested, and I authorize the Commissioner to charge any fees for this extension to Deposit Account No. 09-0447.

Respectfully submitted,

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**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re application of:

**DeWitt, Jr. et al.**

Serial No: **10/757,197**

Filed: **January 14, 2004**

Title: **Method and Apparatus for Optimizing  
Code Execution Using Annotated Trace  
Information Having Performance Indicator  
and Counter Information**

Group Art Unit: **2192**

Intellectual Property  
Law Department  
International Business  
Machines Corporation  
11400 Burnet Road  
Austin, Texas 78758

**SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

Applicants submit herewith patents, publications or other information of which they are aware, which they believe may be material to the patentability of this application and in respect of which there may be a duty to disclose in accordance with 37 C.F.R. 1.56.

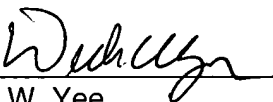
While this Supplemental Information Disclosure Statement may be "material" pursuant to 37 C.F.R. 1.56, it is not intended to constitute an admission that any patent, publication or other information referred to herein is "prior art" for this invention unless specifically designated as such.

In accordance with 37 C.F.R. 1.97(g) the filing of this Supplemental Information Disclosure Statement shall not be construed to mean that a search has been made or that no other material information as defined in 37 CFR 1.56 (b) exists.

No item of information cited in this Supplemental Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the person signing the certification after making reasonable inquiry, no item of information contained in this Supplemental Information Disclosure Statement was known to any individual designated in § 1.56(c) more than three months prior to the filing of this Supplemental Information Disclosure Statement.

In the event a fee is required in connection with the enclosed Supplemental Information Disclosure Statement, the Commissioner of Patents and Trademarks is authorized to charge Deposit Account No. 09-0447 for the necessary amount.

Respectfully submitted,

  
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Substitute for form 1449/PTO

## Complete if Known

INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT

Application Number 10/757,197  
 Filing Date 01-14-2004  
 First Named Inventor DeWitt, Jr. et al.  
 Examiner Name Dam, Tuan Quang  
 Art Unit 2192  
 Attorney Docket No. AUS920030556US1

Examiner Initials	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
AA	6,149,318	11-21-2000	Chase et al.	
AB	6,185,671 B1	02-06-2001	Pentovski et al.	
AC	6,247,113 B1	06-12-2001	Jaggar	
AD	6,460,135 B1	10-01-2002	Suganuma	
AE	2003/0126590 A1	07-03-2003	Burrows et al.	
AF	6,842,850 B2	01-11-2005	Ganapathy et al.	

## FOREIGN PATENT DOCUMENTS

Examiner Initial	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear

## NON PATENT LITERATURE

Examiner Initial	Include name of author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.

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